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Abstract: 4-Oxalocrotonate tautomerase enzyme (4-OT) catalyzes the isomerization of 2-oxo-4-hexenedioate to 2-oxo-3-hexenedioate. The chemical process involves two proton transfers, one from a carbon of the substrate to the nitrogen of Pro1 and another from this nitrogen atom to a different carbon of the substrate. In this paper the isomerization has been studied using the combined quantum mechanical and molecular mechanical method with a dual-level treatment of the quantum subsystem employing the MPW1BK density functional as the higher level. Exploration of the potential energy surface shows that the process is stepwise, with a stable intermediate state corresponding to the deprotonated substrate and a protonated proline. The rate constant of the overall process has been evaluated using ensemble-averaged variational transition state theory, including the quantized vibrational motion of a primary zone of active-site atoms and a transmission coefficient based on an ensemble of optimized reaction coordinates to account for recrossing trajectories and optimized multidimensional tunneling. The two proton-transfer steps have similar free energy barriers, but the transition state associated with the first proton transfer is found to be higher in energy. The calculations show that reaction progress is coupled to a conformational change of the substrate, so it is important that the simulation allows this flexibility. The coupled conformational change is promoted by changes in the electron distribution of the substrate that take place as the proton transfers occur.

1. Introduction

4-Oxalocrotonate tautomerase (4-OT, EC 5.3.2) catalyzes the isomeric conversion of 2-oxo-4-hexenedioate (4-oxalocrotonate, 1) into 2-oxo-3-hexenedioate (3) through the dienolate intermediate (2), which is deprotonated 2-hydroxy-2,4-hexadienedioate, also known as 2-hydroxymuconate (see Scheme 1). This enzyme is part of a degradative pathway that transforms aromatic hydrocarbons into intermediates in the Krebs cycle. Kinetic measurements have shown that this enzyme can work using as substrate either 2-oxo-4-hexenedioate or 2-hydroxymuconate. The original studies indicated that 1 is a slightly better substrate than the thermodynamically less-stable enol tautomer (2-hydroxymuconate, the protonated form of 2), whereas the newer experimental and computational studies indicate that 1 is a better substrate than 2-hydroxymuconate. The calculations show that reaction progress is coupled to a conformational change of the substrate, so it is important that the simulation allows this flexibility. The coupled conformational change is promoted by changes in the electron distribution of the substrate that take place as the proton transfers occur.

Scheme 1

1. 2. 3.
found the enol to be a substrate that is turned over more rapidly by the enzyme by a mechanism involving 2 as a common intermediate. The difference in the experimental results was explained by the employment of more modern purification techniques in the more recent work. The phenomenological free energy of activation for the reaction with 2-oxo-4-hexendioate at 303 K is 13.8 kcal/mol, as computed from the measured rate constant by transition state theory.6

The enzyme 4-OT is a homohexamer composed of a trimer of dimers. Each monomer contains 62 amino acids.7 The X-ray structure shows that the active sites are placed at the dimer interfaces.8,9 On the basis of experimental kinetics studies, it was proposed that the reaction mechanism involves an initial proton abstraction from C3 of the substrate by a terminal proline (Pro1), and the proton is subsequently transferred to the C5 atom of the substrate (see Figure 1).2,5,10–14 Based on the covalently bound inactivated enzyme–inhibitor structure (PDF code: 1BJP),9 three arginine residues (Arg11, Arg39′, and Arg61′, where the primes and double primes indicate residues from different subunits) are found in the active site of 4-OT (Figure 1). Mutations of these residues by alanine suggested that Arg11 and Arg39 play a role in substrate binding and contribute to catalysis.3 In another recent study,15 mutations of these arginine residues by the isosteric, noncoded, and uncharged amino acid citrulline confirmed the critical role of Arg11 and Arg39 in catalysis. On the other hand, mutation of Arg61 produces only minor effects on the kinetic parameters of 4-OT.15 These studies also showed that Arg11 has a major effect on substrate binding.15

Several theoretical studies have been devoted to the chemical steps in 4-OT. In a series of publications, Cisneros et al. obtained the minimum energy path for the reaction in the active site and then obtained an energy profile for each of the two steps by an iterative reaction path optimizer.6,16–19 In an initial study, they6 used a fixed reaction coordinate, and in continuing work,6,17 they used a chain of states; in these studies there is a single global reaction coordinate, and protein flexibility is taken into account only by a perturbation scheme for calculating interaction energies between the active-site quantum mechanical subsystem and the rest of the system. These investigations corroborated the reaction mechanism proposed in Figure 1, where Pro1 acts as a proton shuttle. The second step to protonate the C5 atom of the enolate species was proposed as the rate-limiting step, although the free energy barrier was found to be only 1.5 kcal/mol higher than that for the first proton abstraction step. However, it appears that the pro-S hydrogen on the C3 atom of the substrate was used in those calculations on the first proton transfer reaction,16 whereas Whitman and co-workers established that it is the pro-R proton that is abstracted in 4-OT (see below).10 In subsequent computational studies,6,17–19 the symmetric substrate, 2-hydroxymuconate, was used. However, it appears that the Si face at C5 of the dienol was placed in front of Pro1,17 which would produce an antarafacial proton-migration product if the correct pro-R proton was abstracted from C3 to

---

**Figure 1.** Schematic representation of the active site and the proton transfer steps from C3 to Pro1-N and from Pro1-N to C5 based on the covalently bound enzyme–inhibitor crystal structure 1BJP. Atom numbering of the substrate (carbon atoms in black, oxygens in blue) is also shown.
yield the common intermediate 2, in contrast to the experimental finding of a suprafacial proton shift.\textsuperscript{10} Since the enzymatic process is stereospecific, the good agreement both in computed barrier and in mutation effects with experiments is interesting and could be due to the use of a single global fixed reaction path obtained by energy optimization and chain-of-states techniques, which does not fully explore the coupled conformational fluctuations between the substrate and the protein environment. Nevertheless, hydrogen-bonding interactions with the protein backbone (in particular Leu8) were proposed to contribute to transition state stabilization.\textsuperscript{17} Similar conclusions were reached by Tuttle et al. by means of molecular dynamics (MD) simulations, followed by high-level energy calculations with localization of stationary structures.\textsuperscript{20,21} The authors concluded that the orientation and conformation of the substrate in the active site are crucial in order to obtain computational results in agreement with experimental findings. In contrast, Sevastik et al.,\textsuperscript{22} employing a reduced active site model and a significantly higher level of quantum mechanical (QM) model, concluded that the first step was the rate-limiting process, whereas the intermediate (2-hydroxyxymconate) was much more stable than previously predicted.

Deeper insight can be obtained by considering an ensemble of reaction paths, in each of which the dynamics of the protein is coupled to that of the substrate, to include the dependence of the substrate conformations and orientations on the progress of reaction. This can be further combined with QM treatments of substrate electronic structure, active-site vibrations, and tunneling. The Valencia group has recently presented an efficient way\textsuperscript{23,24} of including high-level electronic-structure corrections in the calculation of a reactive free energy profile, which is the potential of mean force (PMF) as a function of the reaction coordinate. One advantage of the way that this strategy is employed in the present work is that the flexibility of the full system is incorporated during calculations of an ensemble of reaction paths, and configurational changes of the substrate can be coupled to the advance of the reaction. In the present article we employ this method to include a high level of QM electronic structure in the description of the active site along with the treatment of the dynamics by ensemble-averaged variational transition state theory (EA-VTST),\textsuperscript{25–27} including quantized vibrations and multidimensional tunneling.

In the following, we first present the details of the computational methodology used in the present study; this is followed by results and discussion. Finally, we summarize main findings from this investigation.

2. Methodology

2.1. Overview of the Method. In this subsection, we discuss some general questions related to the mechanism, the choice of reaction coordinates, the role of the protein rearrangement coordinates or water movement that may be coupled to the reaction path, and statistical averaging over reaction paths. We then review the essential elements that we designed into the present dynamical treatment to provide a reliable treatment of the dynamics when one does not wish to assume that the mechanism and the reaction coordinate are known in advance. In sections 2.2–2.5 we review the details of the method and present its application to the reaction catalyzed by 4-oxalocrotonate tautomerase.

When a reaction involves charge transfer, such as a proton transfer, hydride transfer, or electron transfer, or possibly the transfer of two or more charged particles, the question arises of what is the best reaction coordinate. First we consider the issue of a chemical reaction coordinate (such as a coordinate defined in terms of a transferring proton, or in general some function of the nuclear coordinates of the atoms participating actively in the chemical process) vs a collective bath coordinate (where the “bath” may be the protein or solvent or both); then we will consider the specific choice of chemical coordinate (for example, a synchronous or sequential motion of two protons).

First consider solvent participation. For example, for a weak-overlap electron transfer in a polar solvent, it is well known that the best reaction coordinate is a solvent polarization coordinate.\textsuperscript{28–30} This kind of coordinate is also applicable to some charge transfer processes in proteins.\textsuperscript{31–33} Solvent polarization coordinates are often described by using energy gaps computed with non-Born–Oppenheimer diabatic states,\textsuperscript{34} for example, valence bond configurations.\textsuperscript{35} However, when the charge transfer is accompanied by nuclear transfer, one can also describe the process by nuclear coordinates,\textsuperscript{36} and usually the Born–Oppenheimer approximation is valid, which is a great simplification.

Choosing a reaction coordinate is equivalent to choosing a sequence of generalized transition states, which can be taken as hypersurfaces normal to the reaction coordinate. In principle one can use any reaction coordinate, provided that one uses an accurate transmission coefficient, which depends on the choice of reaction coordinate. The transmission coefficient has two major contributions, one factor (to be called the dynamic recrossing transmission coefficient, or—for short—the recrossing factor) that accounts for systems that reach the transition state without reacting or that reach the transition state more than once in a single reactive event, and another factor to account for quantum effects (primarily tunneling) on the reaction coordinate motion. When the recrossing factor differs greatly from unity, calculating it entails as much work as doing a full dynamics calculation. Thus we seek reaction coordinates that have a transmission coefficient close to one, at least in the absence of large tunneling effects. In some cases, very similar results can be obtained by the two kinds of reaction coordinates (chemical or bath) with the recrossing factor being no smaller than about 0.5.\textsuperscript{33,36} We will use a chemical reaction coordinate in the first stage of the present study.

The existence of two possible proton transfers raises new issues, especially if their transfer can be synchronous or sequential. One can determine the mechanism by calculating a two-dimensional
potential of mean force \(^{37-39}\) and comparing the free energy barriers along sequential and synchronous paths from reactants to products. A simpler approach is to calculate a two-dimensional potential energy surface;\(^ {24,40}\) if the energy barriers for different paths differ greatly, the extra effort of calculating free energies rather than potential energies along the paths is not required. In the present work we used the latter approach. It turns out that a particular sequential path has a much lower potential energy barrier than either the other sequential path or the synchronous path, so we calculated only a one-dimensional free energy profile along the lower-energy path of the first-stage reaction coordinate. Note though that, although the path is one-dimensional, at any value of the progress variable that determines distance along the path, the system samples a canonical ensemble of geometries and conformations for all degrees of freedom except the reaction coordinate, which is locally the same as the progress variable in this work (therefore, as usual, we will call the progress variable the reaction coordinate or distinguished reaction coordinate in the rest of this exposition). Thus, there is no bias in the sampling of possible reactive events.

As mentioned above, at a given value of the reaction coordinate, the system samples many conformations that lie along different reaction valleys on the potential energy surface. In EA-VTST,\(^ {23-27}\) which is used in the present work (as described further in section 2.5), we sample an ensemble of these paths and their associated reaction valleys. Each reaction valley has an associated reaction coordinate; in stage 2 of the calculation, this ensemble of reaction coordinates replaces the earlier single chemical reaction coordinate used in stage 1. Each of these reaction coordinates is associated with the distance along the reaction valley in a particular conformation, but rather than being pre-determined as a chemical reaction coordinate, it is now optimized in 3\(N\) degrees of freedom (where \(N\), the number of primary atoms, is 51 in the present study). Furthermore, since we consider an ensemble of reaction coordinates, each having a different configuration of the rest of the substrate—enzyme—solvent system, the effective reaction coordinate also depends on all the other coordinates. This allows the whole system to participate in the reaction coordinate so that what started as a purely chemical reaction coordinate now effectively incorporates all the degrees of freedom of the system. For several enzymatic reactions, accurate kinetic isotope effects have been calculated with this scheme,\(^ {27,41}\) thereby validating this approach.

### 2.2. The System

The initial coordinates were taken from the X-ray crystal structure 1BJP at 2.4 Å resolution.\(^ {9}\) This structure was determined with enzyme irreversibly inactivated by the inhibitor each having a different configuration of the rest of the substrate—enzyme complex with one of these dimers. In the 1BJP structure,\(^ {9}\) the structure is a trimer of dimers, and we modeled the enzyme—substrate complex with the distance along the path, the system samples a canonical ensemble of geometries and conformations for all degrees of freedom except the reaction coordinate, which is locally the same as the progress variable in this work (therefore, as usual, we will call the progress variable the reaction coordinate or distinguished reaction coordinate in the rest of this exposition). Thus, there is no bias in the sampling of possible reactive events.

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Once all hydrogen atoms were properly added to the heavy atoms of the X-ray PDB structure, the system was solvated with a sphere of 30 Å containing pre-equilibrated water molecules; water molecules that are within 2.5 Å of any non-hydrogen atom were deleted. The resulting system was re-solvated four more times using different relative orientations between the protein and the water sphere to ensure good solvation of the system. The orientations of the solvent water molecules were then optimized by energy minimization, which was followed by geometry optimization of protein within 24 Å to the center of the system, which is defined at the C4 atom of the substrate (following the atom numbering presented in Figure 1). The rest of the system was kept frozen during all the calculations. The structure resulting from the above set up has some differences from the X-ray structure, as it must have because we used a single dimer. The irreversibly inactivated X-ray structure used to build the system, like the native form of 4-OT, is a trimmer of dimers. In the X-ray structure the Arg^39′′(from another dimer at the interface, different from that containing Pro1 and Arg1′′ or Arg61′′) is far from the active site while in the native form it is not. In our model, Arg39 (from the same subunit of Pro1) is located close to the place that is occupied by Arg^39′′ in the X-ray structure 1BJP. After the initial optimization Arg39 became even closer to the substrate. Thus, we have an arginine in the same proximity as that of Arg^39′′, but it is not the same arginine in the initial X-ray structure. In the apo enzyme structure (1OTF),\(^ {9}\) both Arg39 and Arg^39′′ are oriented away from Pro1 in the active site, and they are located at the interface of two dimers, very close to one another. Further, they do not seems to be always in the same location in different structures.\(^ {9,30}\) So in our active site model Arg39 efficiently stabilizes the Cl carboxylate group, as suggested in previous works.\(^ {8}\) We adopted this model for the rest of this article.

The dimer (which corresponds to our computational model) is the predominant form only at pH lower than 4.8 and at this pH there is no catalytic activity, probably because Pro1 becomes protonated. Although Arg39 from a second dimer interacts with the substrate in the 1BJP structure, it appears that the Arg39 from the same dimer (and the same chain of Pro1) can also take the role assigned to the Arg^39′′ from the other dimer, and further work is required to learn definitively about the role of Arg39 in the active site.

After the initial setup of the solvated protein system, a short MD run (10 ps with a time step of 1 fs) was carried out to relax the positions of the water molecules. The system was then partitioned into a QM region consisting of the substrate, the Pro1, and part of the Ile2 (33 atoms) and a molecular mechanical (MM) region containing the rest of the system (the enzyme, composed of 1939 atoms in addition to those treated quantum mechanically, and 3689 water molecules, for a total of 13 006 molecular mechanical atoms). The QM subsystem was described using the Austin model 1 (AM1) semiempirical molecular-orbital Hamiltonian,\(^ {43}\) while the MM part was modeled by using the CHARMM force field\(^ {42}\) for the enzyme and the TIP3P potential\(^ {44}\) for water molecules. The QM and the MM parts were connected by the generalized hybrid orbital (GHO) method.\(^ {45}\) The AM1/MM energy function may be expressed in the usual way as:

\[
E_{\text{AM1/MM}} = E_{\text{QM}} + E_{\text{MM}} + E_{\text{Q/MM}}
\]

(1)

where the three terms correspond to the energies of the QM region, the MM region, and their interaction, respectively.

Finally, the full system was heated to 300 K by a series of short dynamic simulations (10 ps), followed by a longer MD simulation (200 ps) to ensure the equilibration of the system.

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2.3. The Energy Function. The final structure obtained from the MD calculation was employed as the starting point for combined QM/MM simulations, employing the AM1/MM potential energy surface (PES). The CHARMM program\(^{(42)}\) was used to explore the dependence of the potential energy surface on two coordinates \((R_i)\). We considered two elementary chemical steps: a proton transfer from the C3 atom of the substrate to the nitrogen of Pro1 and a proton transfer from this C5 atom to the C5 atom of the substrate. Each of the proton transfers is described by a distinguished coordinate defined as the difference of the distances from the migrating hydrogen atom to the donor \((r_{D-H})\) and the acceptor \((r_{A-H})\) atoms:

\[
\begin{align*}
R_1 &= r_{C3-H} - r_{N-H} \quad (2a) \\
R_2 &= r_{N-H} - r_{C5-H} \quad (2b)
\end{align*}
\]

These coordinates are reasonable reaction coordinates because they change smoothly during the reaction steps.

Figure 2 shows the results obtained using the combined AM1/MM potential; in this figure the proton transfer coordinates were kept at a desired reference value by using the RESDISTANCE keyword in CHARMM to define the reaction coordinates while the rest of the coordinates of the flexible region were relaxed. The figure shows that the AM1/MM optimizations yield a stepwise mechanism where the proton is transferred first from the substrate to Pro1 and then transferred back to the substrate. This result is in agreement with all previous calculations on this system.\(^{5,16,17,20–22}\)

Although the qualitative features in Figure 2 obtained using the semiempirical AM1/MM method are very good, quantitative results can be further improved using a higher level of theory. To this end, we used a new energy function defined in terms of interpolated corrections as

\[
E = E_{\text{AM1/MM}} + S[\Delta E_{\text{LL}}(R_1, R_2)]
\]

where \(S\) denotes a two-dimensional spline function, and its argument \(\Delta E_{\text{LL}}(R_1, R_2)\) is a correction term taken as the difference between a high-level (HL) energy of the QM subsystem and the low-level (LL) result (AM1 in this case). Details of the interpolation procedure have been given elsewhere.\(^{23,24}\) The HL theory was chosen from the corresponding to the corrected surface are lower than the ones observed in the uncorrected surface. The intermediate and the product are also stabilized relative to the reactants on the corrected surface

2.4. Potentials of Mean Force. The potential energy surfaces presented in Figure 2 suggest that the isomerization reaction catalyzed by 4-OT takes place by a stepwise mechanism, as shown in earlier investigations.\(^{5,10,16–22}\) Consequently, the free energy reaction profiles, also called the potentials of mean force (PMF),\(^{54–56}\) for the two proton-transfer reactions can be determined separately by following the respective proton-transfer reaction coordinates.

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(53) Frisch, M. J.; et al. Gaussian 03, revision D.01; Gaussian Inc.: Wallingford, CT, 2004.
We used the umbrella sampling technique to construct each PMF by a series of MD simulations in which the reaction coordinate was restrained at a sequence of values of the reaction coordinate, covering the transformation from the reactant state to the intermediate, and from the intermediate to the final product state. The PMFs are computed using both the spline-corrected and the uncorrected energy functions. To ensure the connectivity between the PMFs for the two reaction steps, umbrella sampling simulations were started in both cases from the reaction intermediate.

Different ranges of values of the variables (R_i and R_j in each of the PMFs) were sampled in a series of biased simulation windows. For this purpose we used a combination of a harmonic potential, with a force constant of 20 kcal mol\(^{-1}\) Å\(^{-2}\), and a bias potential designed to flatten the sampled free energy profile along the reaction coordinate. This combination has been shown to be very efficient for obtaining fast convergence in the probability distribution of the reaction coordinate in a sequence of simulation windows. These probability distributions were then unified by using the weighted histogram analysis method (WHAM) to construct the full distribution function from which each PMF was obtained. The simulation windows were run in a consecutive way starting from the intermediate structure toward the reactant or the product state, respectively. Each window was started from the equilibrated configuration of the preceding window and consisted of 1 ps equilibration, followed by 80 ps of production. This was long enough to sample a wide range of structures at a reference temperature of 300 K. All MD simulations were performed by using an integration time step of 1 fs. The canonical ensemble (NVT) was used for all the simulations, thus yielding estimates of the Helmholtz free energy changes, which for condensed-phase reactions can be considered equivalent to Gibbs free energy variations.

For the PMF associated with the first proton transfer, characterized by the distinguished coordinate R_1, the total number of windows employed to cover the whole range of the reaction coordinate from the intermediate to the reactants was 21, starting at 2.4 Å and finishing at 1.6 Å, with an increment of 0.2 Å. For the PMF associated with the second proton transfer, specified by the distinguished coordinate R_2, the total number of windows employed to cover the whole range of the reaction coordinate from the intermediate to the products was 18, starting at 1.6 Å and finishing at 1.8 Å, with an increment of 0.2 Å.

The potentials of mean force obtained from MD simulations correspond to classical free energy changes. To incorporate the contributions from quantized vibrations for all degrees of freedom except the one corresponding to the distinguished reaction coordinate, we add the difference between the quantum mechanical and classical mechanical (CM) free energies for the 3N_1 − 7 modes, where N_1 is the number of quantized atoms in the primary zone. The resulting PMF is called the quasiclassical (QC) potential of the reaction coordinate and those that are most strongly coupled to it.

\[
\Delta G_{QC} = W_{QC}(R) - W_{CM}(R) + \Delta W_{QM}(R)
\]  

where W_{QC}(R) is the QC PMF, W_{CM}(R) is the CM PMF from umbrella sampling simulations, and \Delta W_{QM}(R) is the difference between the quantum and classical vibrational free energies for the 3N_1 − 7 modes orthogonal to the reaction coordinate R.

To compute \Delta W_{QM}(R), we first define a quantum primary zone of N_1 atoms, consisting of the atoms that are used in the definition of the reaction coordinate and those that are most strongly coupled to them. We then carry out instantaneous normal-mode analysis within the harmonic approximation for the primary zone atoms. For the 4-OT reaction, the primary zone atoms include the substrate, the proton acceptor and donor residue Pro1, and the ionizable groups of arginines 11', 39, and 61' in the active site. This yields 51 atoms. The rest of the enzyme–solvent system is called the secondary subsystem or the bath; the number of atoms in the secondary subsystem is 12 988. The generalized normal-mode frequencies are averaged over 150 structures obtained from each of the simulated windows corresponding to reactants, the intermediate of the overall reaction, which is the product for the first proton transfer step and the reactant state for the second proton transfer reaction, and transition states for the proton transfer reactions. These frequencies are then used to estimate \Delta W_{QM}(R).

The quasiclassical free energy of activation \Delta \Gamma_{QC} is

\[
\Delta \Gamma_{QC} = \frac{\Delta G_{QC} + \Delta C(R)}{\Delta G_{QC}}
\]

where R_i and R_j specify the reaction coordinate at the transition state (the maximum position in W_{QC}(R_i)) and at the reactant state for reaction j, respectively. G_{QC} is the quantum mechanical vibrational free energy of the reactant state to account for the mode, F, that correlates with the distinguished coordinate R_j, and \Delta C(R) is a Jacobian correction (which is neglected) due to the use of a non-Cartesian reaction coordinate. All calculations were carried out using CHARMMRATE, which is based on an interface of the programs CHARMM and POLYRATE.

2.5. Tunneling and Recrossing. Final evaluation of the rate constant is carried out by canonical variational theory, where quantum effects and recrossing are incorporated through an ensemble-averaged transmission coefficient \gamma. The first-order rate constant is thus obtained as

\[
k_{CVT} = k_B T \gamma \frac{\hbar}{\Delta G_{QC}^{\text{RT}}} e^{-\Delta G_{OC}^{\text{RT}}}
\]

The transmission coefficient \gamma is obtained as an ensemble average over 21 reaction paths (j = 1, 2, ..., 21) of the primary zone corresponding to transition-state configurations obtained during the umbrella sampling calculation. Each individual \gamma_j consists of two factors, that is,

\[
\gamma_j = \Gamma_j \kappa_j
\]

with one factor, \Gamma_j, being an approximation to the dynamic recrossing transmission coefficient and the other factor, \kappa_j, resulting from tunneling at energies below the effective barrier and from nonclassical diffractive reflection from the barrier top at energies above the effective barrier. The ensemble averages of \gamma_j, \kappa_j, and \Gamma_j are denoted by \gamma, (\kappa), and (\Gamma), respectively. Each of the \Gamma_j factors is a function of the difference in generalized free energy of activation between its maximum on the individual reaction path obtained for a given frozen secondary subsystem and its value at the point corresponding to the maximum of the PMF obtained when the environment is in equilibrium. On the other hand, each \kappa_j is calculated with the microcanononical optimized multidimensional tunneling method, involving a variational choice between small-
curvature and large-curvature tunneling.\textsuperscript{27,69–71} Finally, the phenomenological activation free energy can be obtained including the contribution of the transmission coefficient:

\[
\Delta G_{\text{phen}}^f = -RT \ln \gamma + \Delta G_{\text{QC}}^f = \Delta G_f + \Delta G_{\text{QC}}^f
\]

(7)

3. Results and Discussion

3.1. Substrate Conformation. Extensive biochemical, mutagenesis, and structural studies by Whitman and co-workers established that the enzyme 4-OT catalyzes the isomerization of (E)-2-oxo-4-hexenedioate to (E)-2-oxo-3-hexenedioate by a one-base mechanism through a formally suprafacial 1,3-hydrogen migration, and the specific base has been identified as Pro1, which was confirmed by crystal structures.\textsuperscript{9,72} Furthermore, the stereochemistry of the 4-OT-catalyzed proton transfer reactions was established by two isotopic labeling experiments carried out in \textsuperscript{2}H\textsubscript{2}O. Specifically, Whitman and co-workers showed that 4-OT converts 2-hydroxymuconate, the enol tautomer of the substrate, into (5S)-[5-\textsuperscript{2}H]-2-oxo-3-hexenedioate, and the dienol compound, 2-hydroxyl-2-pentadienoate (the 6-decarboxy substrate), into (3R)-[3-\textsuperscript{2}H]-2-oxo-4-pentenoate, both in \textsuperscript{2}H\textsubscript{2}O, establishing that the pro-R proton at the C3 position is first abstracted by Pro1 and subsequently delivered to the Re face at the C5 position.\textsuperscript{10}

The experimental findings provide steric and conformational constraints in the construction of an initial enzyme–substrate Michaelis complex for modeling the enzyme mechanism. A further clue was revealed in the early studies of Whitman et al.,\textsuperscript{10} who showed that both the unusually stable dienol 2-hydroxymuconate and its keto-tautomer (E)-2-oxo-4-hexenedioate are substrates for 4-OT, but the latter is better than the dienol species. This led to the currently accepted mechanism that 4-OT is an isomerase, catalyzing the conversion of 2-oxo-4-hexenedioate into 2-oxo-3-hexenedioate with the dienolate of 2-hydroxymuconate as the intermediate. Interestingly, the dienolate intermediate can adopt either 2 \textsuperscript{E} or 2 \textsuperscript{Z} configuration about the C2–C3 double bond, and this difference can have significant consequences on the reaction mechanism. These conformations of the carbon chain of the substrate differ by rotation about the C2–C3 bond, which is a single bond in the reactant state but a double bond in the intermediate. Cisneros et al.\textsuperscript{16,17} constructed an enzyme–substrate complex with an all-\textsuperscript{anti} disposition of the carbon chain for the substrate, yielding the \textsuperscript{2Z} configuration for the dienolate intermediate. They obtained a free energy of activation of 16.5 kcal/mol by optimization of a minimum energy path, in reasonable agreement with experiment (13.9 kcal/mol). Using a similar method and their initial coordinates, Tuttle and Thiel reproduced the calculations of Cisneros et al.\textsuperscript{16,17} (model B)\textsuperscript{20,21} and also constructed two different Michaelis complex models (A and C) based in part on the enzyme–inhibitor structure containing covalently linked 2-oxo-3-pentynoate, which does not have the 6-carboxylate group. Structures A and C were both given a \textsuperscript{syn} conformation of the C2–C3 bond of the carbon chain of the substrate and a 2 \textsuperscript{E} configuration for the intermediate. In model A,\textsuperscript{20,21} which kept the Arg11′ side chain conformation found in the crystal structure, the computed barrier was 13 kcal/mol greater than the experimental value, but when the Arg11′ side chain orientation was flipped by 180° in model C, the computed barrier was in accord with experiment.\textsuperscript{20,21}

Examination of the structure of the active site indicates that the substrate is not able to establish strong hydrogen-bonding interactions with Arg11′ in an all-\textsuperscript{anti} conformation of the carbon chain, contrary to the experimental finding that Arg11′ plays an important role in substrate binding.\textsuperscript{3,15} The side chain conformation change in model C of ref 21 results in stronger binding interactions with the substrate than in model B,\textsuperscript{20,21} consistent with mutation studies. Both groups used energy minimization techniques to locate a single minimum energy path. Thus, protein and substrate flexibility and entropic contributions were not coupled in the path. Furthermore, it appears that in model B\textsuperscript{20,21} and in the original work of Cisneros et al.,\textsuperscript{16} the pro-\textsuperscript{S} proton was abstracted by Pro1 and a proton was transferred to the C5 atom from the Si face of the dienolate intermediate,\textsuperscript{16,17} opposite to the experimental assignment.\textsuperscript{10} Remarkably, examining the pro-\textsuperscript{S} or the Si face reaction, the effects of amino acid mutation on 4-OT kinetics were correctly predicted. In view of the excellent stereoselectivity in enzymatic reactions, the seemingly good agreement between computation and experiment is surprising. Thus, it is important to couple the reaction coordinate with protein dynamic fluctuations in the study of enzymatic reactions.

In our preliminary calculations of the PMF for the initial proton abstraction from C3, we noticed that the dienolate intermediate can adopt either a 2 \textsuperscript{Z} configuration, similar to that constructed by Cisneros et al., or a 2 \textsuperscript{E} configuration, corresponding to the conformation found in the inactive enzyme crystal structure. To explore the conformational flexibility, we further simulated the intermediate state in these two different conformations. MD simulations of these two conformational states showed no transitions between them during 200 ps, indicating that both conformations could be stable forms of the reaction intermediate. Snapshots of these two conformations of the intermediate state are shown in Figure 3, where the average values of the hydrogen bond distances between substrate oxygen atoms and arginines 11′, 61′ and 39 are also provided.

Starting from the final structures of the initial exploratory simulations of the two intermediate conformational states, we carried out two sets of umbrella sampling simulations to obtain the PMF along the reaction coordinate \(R_1\) in the direction of proton transfer from Pro1 to the C3 carbon of the intermediate, yielding the pro-\textsuperscript{R} hydrogen in the substrate. Analysis of the Michaelis structures at the end of the umbrella sampling calculations shows that both sets of simulations resulted in the same substrate conformation, corresponding to the (4\textsuperscript{E})-2-\textsuperscript{syn}-2-oxo-4-hexenedioate substrate (where \textsuperscript{syn} refers to the carbon chain). This suggests that, starting from the same reactant state and following the reaction coordinate \(R_1\), one could obtain at least two different intermediate conformations. The ratio of the concentrations of the two conformational states is under kinetic control because they cannot be interconverted due to the C2–C3 double bond character of the dienolate intermediate state.

The PMFs for the two reaction paths depicted in Figure 4 show that the (2\textsuperscript{Z},4\textsuperscript{E}) configuration of the intermediate is more stable by about 6.5 kcal/mol than the (2\textsuperscript{E},4\textsuperscript{E}) configuration. Furthermore, the free energy barrier is 4.5 kcal/mol smaller for the reaction path leading to the former intermediate than that


for the \((2E, 4E)\) dienolate species, and this suggests that more than 99.9% of the trajectories produce the more stable \((2Z, 4E)\) intermediate in the active site of 4-OT. Significantly, the free energy profiles shown in Figure 4 suggest that the initial \((4E)\)-2-syn conformation of the substrate about the C2–C3 single bond undergoes a conformational change in the course of the proton abstraction reaction to yield an intermediate dominantly in the \((2Z, 4E)\) configuration. The driving force for the conformational change may be the development of greater negative charge on the O3 oxygen atom; the oxygen atom of this group is more negatively charged when the pro-R proton on the C3 carbon is transferred to the basic, catalytic residue Pro1. We find that the averaged Mulliken charge on this atom increases from −0.36 au in the reactant state to −0.69 au in the intermediate state. As a result, this oxygen atom in the \((2Z, 4E)\) conformation can be better solvated by hydrogen bonds with Arg61′, with averaged distances of 2.01 and 2.36 Å. On the other hand, in the \((2E, 4E)\) conformation, the dienolate oxygen atom establishes one hydrogen bond with Arg39, with an averaged distance of 2.67 Å.

This conformational change is already advanced in the simulation window corresponding to the transition state, when \(R_1\) is approximately 0.25 Å, with the proton closer to the nitrogen atom of Pro1 than to the carbon atom of the substrate. The averaged value of the C1–C2–C3–C4 dihedral angle in this simulation window is approximately 150°. As the value of the reaction coordinate further increases, the conformational change is driven to completion, and the substrate is always found in the \((2Z, 4E)\) conformation. It is interesting to note that a single simulation started in the reactant state would not be sufficient to detect this hysteresis problem associated with the proton transfer coordinate employed. Simulations in the reactant state show that the C1–C2–C3–C4 dihedral angle fluctuates in the range of −60 to +60°. Small differences in this dihedral angle at critical values of the proton transfer coordinate can determine the conformation reached in the intermediate state. We also found that it was possible to reach a different intermediate configuration, namely \((2E, 4Z)\), starting from the same \((4E)\)-2-syn conformation of the substrate and using the same reaction coordinate. This path leads to an even less stable intermediate and also presents a higher free energy barrier.

3.2. Potentials of Mean Force. On the basis of the results described above, we decided to trace the PMFs corresponding to the whole reaction starting from the intermediate in the \((2Z, 4E)\) configuration. Using \(R_1\) and \(R_2\), we followed the transformations to reactants and to products, respectively. These PMFs do not include the effect of quantizing the vibrations.

Figure 3. \((2Z, 4E)\) and \((2E, 4E)\) configurations of the reaction intermediate. Hydrogen bond distances to the substrate oxygen atoms are given in Å. Carbon atoms, light blue; nitrogen, dark blue; oxygen, red; hydrogen, white.

Figure 4. Potentials of mean force corresponding to the pro-R proton transfer from the C3 atom of the substrate to Pro1, leading from the 2-syn substrate to the \((2Z, 4E)\) form of the intermediate (black line) or to the \((2E, 4E)\) one (red line). These PMFs do not include the effect of quantizing the vibrations.

Figure 5. Potentials of mean force obtained for the pro-R proton transfer from the C3 atom of the substrate to Pro1 (\(R_1\)) and from Pro1 to the Re face at C5 (\(R_2\)). These PMFs do not include the effect of quantizing the vibrations.
do not precisely match the values obtained from uncorrected AM1/MM calculations.

The PMFs in Figure 5 show that the reaction bottleneck is the transition state of the first proton transfer (from C3 atom of the substrate to the nitrogen of Pro1), in agreement with the results of ref 22. The free energies along key stationary points of the PMFs, including the first transition state (TS1), intermediate (INT), second transition state (TS2), and products (P), relative to that of the Michaelis complex are 17.1, 8.6, 13.6, and −12.7 kcal/mol, respectively.

The geometrical changes taking place in the active site during the reaction process are evident in representative snapshots of the stationary structures, which are given in Figure 6. Table 1 provides averaged values of the distances that define the reaction coordinates (C3−H, Pro1N−H, Pro1N−H′, and C5−H′) and of the shortest hydrogen bond distance established between the substrate oxygen atoms and the arginine residues present in the active site (Arg11′, Arg39, and Arg61′). These hydrogen bonds can be established either through the NH2 (H′ atoms) or through the NH groups (H atoms) of the arginine’s side chain.

The changes in the interaction pattern of each of the oxygen atoms are related to the conformational changes taking place in the substrate as the reaction advances. In the Michaelis complex, the substrate presents a syn disposition of the carbon chain at the C2−C3 single bond, and the C1 carboxylate group forms a strong hydrogen bond with Arg39 at an average distance of 1.9 Å, which is maintained throughout the two proton-transfer reaction pathways. In addition, the O1 oxygen of the C1 carboxylate group forms a somewhat longer hydrogen bond with H′ of Arg61′ at 2.73 Å, and the carbonyl O3 atom accepts a weak hydrogen bond from the H atom of Arg39 with an average distance of 2.72 Å in the Michaelis complex, but these two interactions are swapped at the two proton-transfer transition states and the intermediate state. The C6 carboxylate group (O4/O5) interacts with Arg11′ through a bidentate coordination, a contact pattern kept throughout the entire reaction path. Using the antisymmetric combination of bond-breaking and bond-forming distances (R′), the transition state appears at slightly positive values, which means that the bond distance involving the acceptor atom (1.24 Å) is shorter than the bond distance involving the donor (1.54 Å). At this stage of the reaction, rotation around the C2−C3 bond is partly completed, and the O3 atom, now supporting a larger negative charge, interacts with Arg61′. This process is further assisted by concomitant rotation of the C1 carboxylate group that interacts strongly with Arg39 (Table 1). The conformational change about the C2−C3 rotation is completed in the intermediate state, where an all-anti carbon chain is found. In this case, the negatively charged O3 atom forms a strong hydrogen bond with Arg61′, while the C1 carboxylate keeps strong hydrogen bonds with Arg39. During the motion of the substrate in the active site, the hydrogen bonds of the C6 carboxylate group with Arg11′ are kept within the range 1.8−2.1 Å.

In TS2 the second proton transfer is quite advanced. In terms of the selected reaction coordinate (R′), the maximum of the free energy profile appears at negative values, indicating that the distance of the proton to the donor atom (1.23 Å) is shorter than the distance to the acceptor atom (1.56 Å). As the proton transfer reaction takes place, the negative charge on the O3 atom of the dienolate species is reduced, and the hydrogen bond distance to Arg61′ is consequently lengthened. Once the C5 atom receives the proton from Pro1 on the Re face, the negative charge on the O3 atom drops, and the substrate is able to recover its initial syn conformation for the carbon chain. The averaged Mulliken charge on the O3 atom in the product state is reduced to −0.40 au. We observed this conformation in our simulations only for advanced values of the R′2 reaction coordinate (beyond 1.2 Å). In this conformation, the C1 carboxylate group interacts with Arg39 and Arg61′ and O3 interacts with Arg39; this represents the same pattern of interactions as that in the reactants state.

We stress that the present results show that the conformational change observed in the substrate is coupled to (correlated with) the progress of the reaction coordinate in an equilibrium sense, but not necessarily dynamically in real time. As discussed previously, one cannot make conclusions about the time scale required for these correlations because of the quasiequilibrium character of umbrella sampling. In our simulation, both the reactant and product are found in a syn conformation about the C2−C3 bond of the carbon chain, but at the transition states TS1 and TS2 as well as in the intermediate state, the C2−C3 bond has strong double bond character, corresponding to a dienolate species, and adopts the ZZ configuration. As explained before, the negative charge developed on O3 when a proton is lost in the substrate constitutes the driving force by forming stronger hydrogen bonds with Arg61′, assisting in the internal rotation of the substrate. These changes, coupled to the reaction advance, are found when the substrate is allowed to fluctuate according to a reference temperature. Previous minimum energy path explorations or simulations which do not incorporate substrate flexibility after the reaction path was optimized, using, for example, the chain-ofreplica methods, were not able to locate these conformational changes. Of course, it is also possible to imagine a scenario where different reaction paths could be feasible, depending, for instance, on particular conformations adopted by the residues of the active site. For example, Tuttle et al. described a side-chain rotation of the Arg39 residue about the Cδ−Nε bond during a classical MD simulation of the initial model A using the CHARMM force field. This allows the NH2 group of this residue to directly contact the enolate oxygen atom (O3) of the intermediate. Conformational changes of the enzyme could then favor different reaction paths as a result of the interactions established with the substrate. The existence of conformationally different
reaction paths (depending on the conformation of the substrate and/or the enzyme) has been already theoretically described in other enzymatic processes. This behavior has been invoked to explain the kinetic disorder experimentally observed in single-molecule experiments of some enzymatic reactions. In some cases, each protein configuration can act as an independent enzyme, showing different values of the kinetic constants. Changes in average protein conformations and ligand coordination as functions of reaction coordinate progress have been found in several cases, both experimentally and computationally. This kind of coupling is a key element in the induced fit model of enzyme catalysis.

The results presented in Table 1 can be used to interpret the effects of site-directed mutations carried out in the active site, especially chemically modified substitutions of Arg11, Arg39, and Arg61 by the isosteric but neutral residue, citrulline (Cit). Experimental kinetic results show that mutation of Arg11 has important consequences on both $k_{cat}$ and $K_M$, reducing the former and increasing the latter relative to the wild-type enzyme. Our simulations indicate that this residue establishes a salt-bridge with the C6 carboxylate group that is maintained during the reaction process. Arg11 has been proposed to act as an electron sink to attract electron density in the direction towards C5 to favor the second proton transfer reaction. This is consistent with the experimental finding that substitution of Arg11 affects both substrate binding and the reaction rate. Mutation of Arg39 to Cit has the largest effect on $k_{cat}$. According to our simulations, this residue establishes stronger interactions with the C1 carboxylate group in the intermediate and transition states of the reaction, and thus it is expected to contribute to reducing the activation free energy of the reaction. Note that Arg39 from the same subunit of the basic residue Pro1 was used in the present simulation, whereas Arg39′ from a neighboring dimer is present in the X-ray active site. It would be interesting to study the specific roles of the individual Arg39 residues in future computational studies. In the case of the mutation of Arg61 to Cit, $k_{cat}$ is only reduced by a factor of 2. Our simulations indicate that this residue plays an important role in stabilizing the negative charge developed on the O3 atom during the reaction. However, this is true only for the pathway going through the Z2 intermediate. Mutation of Arg61 to a neutral Cit must substantially increase the reaction free energy barrier corresponding to this path, but it would not affect drastically the 2E intermediate pathway, where the charge on the O3 atoms is stabilized by Arg39. Thus, in this case, a given mutation can close one of the possible reaction channels without dramatically affecting other reaction possibilities. Interestingly, double mutation of Arg39 and Arg61 to Cit renders the enzyme completely inactive.

### Table 1. Average Bond Distances for the Breaking and Forming Bonds Involved in the Two Proton Transfer Reactions, and Key Hydrogen Bond Distances between the Substrate Oxygen Atoms and the Hydrogen Atoms of Arginine Residues in the Active Site

<table>
<thead>
<tr>
<th></th>
<th>RS</th>
<th>TS1</th>
<th>INT</th>
<th>TS2</th>
<th>PS</th>
</tr>
</thead>
<tbody>
<tr>
<td>C3−H</td>
<td>1.13</td>
<td>1.54</td>
<td>3.33</td>
<td>3.34</td>
<td>3.34</td>
</tr>
<tr>
<td>Pro1N−H</td>
<td>2.69</td>
<td>1.24</td>
<td>1.07</td>
<td>1.04</td>
<td>1.01</td>
</tr>
<tr>
<td>Pro1N−H′</td>
<td>1.01</td>
<td>1.02</td>
<td>1.04</td>
<td>1.23</td>
<td>2.75</td>
</tr>
<tr>
<td>C5−H′</td>
<td>2.63</td>
<td>2.62</td>
<td>2.31</td>
<td>1.56</td>
<td>1.13</td>
</tr>
</tbody>
</table>

The residue and atom type involved in this interaction are also provided. All distances in Å.

The standard way to the concentration of this intermediate gives the intermediate. Applying the steady-state approximation in the contribution of the reaction path with a 2

Moreover, rate constants have been evaluated considering only free energy differences show that the equilibrium population

- eq 8, we evaluated the catalytic rate constant to be 8.12 s⁻¹ at 303 K. To our knowledge, kinetic isotopic effects (KIEs) have not been experimentally determined for this enzyme. KIEs provide an opportunity for the comparison of experimental and theoretical data using variational transition state theory. This theory has been successfully applied to the interpretation and prediction of enzymatic KIEs, especially when a transferred protium atom is substituted by deuterium and/or tritium. In the present study, we decided to evaluate the primary KIE for deuterium substitution of the hydrogen atom transferred from C3 to the Pro1 nitrogen atom (C3⁻¹H⁻¹H and C3⁻²H⁻²H substrates in Table 2). The present theoretical prediction can be compared with future experiments; this will serve as a test of the present theoretical model. PMFs obtained using Newtonian dynamics are independent of the masses of the nuclei, and thus no effect is expected upon substitution. However, other contributions to the rate constant do depend on isotopic substitution. In order to evaluate KIEs, we computed vibrational, tunneling, and recrossing contributions using the same structures selected from the simulations of the protium case but changing the mass of the corresponding atom. The values obtained for this case are presented in Table 2. The differences in the rates of the isotopologues are quite moderate and slightly larger for the first reaction step, because in this case the substituted atom is transferred while in the second step this atom does not directly participate in the proton transfer. Note that previous studies have indicated that the second proton transfer step is slightly rate-limiting. With these new values, we evaluated the rate constant to be kcat = 3.88 s⁻¹ at 300 K. The ¹H/²H KIE can be now obtained as the ratio of the rate constant obtained with the light and the heavy atoms, which has a value of kcat,1H/kcat,²H = 2.09. The value obtained neglecting tunneling contributions is in this case very similar: 2.07.

4. Conclusions

We have presented a combined QM/MM free-energy-based simulation of the tautomerization reaction catalyzed by 4-OT.
The results obtained are consistent with the proposed mechanism, where a proline residue (Pro1) acts as a proton shuttle, accepting a proton from the C3 atom and transferring another one to the C5 atom. The highly charged intermediate is stabilized by the presence of three arginine residues (Arg11′, Arg39, and Arg61′) in the active site. In our active site model Arg39 from the same subunit of the active site base Pro1 is able to stabilize the C1 carboxylate group, a role that could also be played by Arg39′′ from another dimer unit as suggested in the hexamer structure of the enzyme.9

Our molecular dynamics simulations have shown that the system is highly flexible. Previous analyses based on the minimum energy path or free energy perturbations, where only the fluctuations of the environment are included, were unable to identify the possibility of conformational changes of the substrate during the reaction. We point out that some previous work employing a single global coordinate which is fixed during QM/MM-FEP simulations led to artifacts such as good agreement with experiment for the computed free energy barrier and mutation effects, even though the stereochemistry in the proton transfer mechanisms was incorrect. In principle, several reaction paths may be used to examine various possibilities. Molecular dynamics simulations that fully couple protein and substrate conformational fluctuations to the reaction coordinate allow multiple reaction paths to be explored during the free energy calculations. For the 4-OT-catalyzed isomerization reaction, we found that the initial proton abstraction from the pro-R position at the C3 atom is the rate-limiting step, with an estimated free energy barrier of 16.3 kcal/mol, in reasonable agreement with the experimental value (13.8 kcal/mol). This proton transfer leads to a dienolate intermediate species that adopts a preferred 2Z configuration; furthermore, we have predicted the primary 1H/2H kinetic isotope effect, which can be tested by future experimental measurements.

A key finding of our study is the dynamic coupling between the internal rotation around the C2–C3 single bond of the substrate and the proton transfer. These motions present very different characteristic times. Thus, the conformational change either can precede the proton transfer or can be accomplished during the residence of the substrate in the intermediate state. Our results point to the first scenario. However, it is plausible that conformational changes of the protein affecting the positioning of the arginine residues in the active site could favor different reaction paths where the conformational change could take place after the proton transfer or simply not happen. This should be reflected in the existence of kinetic disorder that could be shown through single-molecule experiments.

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Supporting Information Available: Calculations carried out to choose the high-level method for correcting AM1/MM results and complete ref 53. This material is available free of charge via Internet at http://pubs.acs.org.

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